IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arnol

Arnold-Huyser et al.

Title:

POINT-OF-INTEREST DISPLAY

SYSTEM

Appl. No.:

10/532,287

Filing Date:

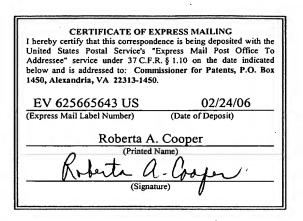
4/21/2005

Examiner:

To Be Determined

Art Unit:

To Be Determined



PETITION UNDER 37 C.F.R. 1.47

Mail Stop MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby petition the Commissioner under 37 C.F.R. §1.47 to accept the Declaration and Power of Attorney in the above-referenced application, in order to preserve the rights of the Applicants.

The Attorneys for the party, to which the Applicants have agreed to assign, have made a *bona fide* attempt to comply with the provisions of 37 C.F.R. §1.47, by attempting to contact the non-signing inventor, Mr. John S. Bambini, in order to execute the application, on multiple occasions, including:

- A first email message to Mr. Bambini sent on December 1, 2005 and a second email message sent on January 13, 2006;
- A telephone call placed to Mr. Bambini's last known residence on January 27, 2006; and
- A certified letter sent return-receipt requested to Mr. Bambini's last known residence via the United States Postal Service on February 7, 2006.

The delivery of the first email message and the certified letter have been confirmed. In support of this petition, attached is i) a copy of Mr. Bambini's response to the first email

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message, which is dated December 18, 2006, and ii) a copy of the Receipt of Certified Mail, confirming delivery of the above-referenced certified letter. To date, Mr. Bambini has failed to execute the above-referenced application and return it to the party to which the Applicants have agreed to assign. Therefore, the Applicants petition the Commissioner to accept the Declaration and Power of Attorney in this application, in order to preserve their rights.

In compliance with the requirements of 37 C.F.R. §§ 1.47(a), the last known address of the non-signing inventor is as follows:

Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

The fee set forth in 37 C.F.R. §§ 1.17(g) for filing a petition by other than all the inventors is \$200.00. A credit card payment form for this amount is enclosed herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Data

FOLEY & LARDNER LLP

Customer Number: 26371

Telephone: Facsimile:

(414) 297-5845 (414) 297-4900 Βv

Nathaniel St.Clair, II

Attorney for Applicant

Registration No. 57,498

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arnold-Huyser et al.

Title:

POINT-OF-INTEREST DISPLAY

SYSTEM

Appl. No.:

10/532,287

International

10/20/2003

Filing Date:

371(c) Date:

04/21/2005

Examiner:

To Be Determined

Art Unit:

To Be Determined

Conf. No.:

8549

RENEWED PETITION UNDER 37 C.F.R. 1.47(a)

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby petition the Commissioner under 37 C.F.R. §1.47 to accept the Declaration and Power of Attorney in the above-referenced application, in order to preserve the rights of the Applicants.

A petition under 37 C.F.R. 1.47 must include proof of the pertinent facts relating to the presentation of the application papers to the inventor and the inventor's refusal to sign the application papers. The petition must also be accompanied by (i) the oath or declaration, (ii) the fee set forth in § 1.17(h), and (iii) the last known address of the non-signing inventor.

The party to which the Applicants have agreed to assign the invention have made a bona fide attempt to comply with the provisions of 37 C.F.R. §1.47, by attempting to contact the non-signing inventor, Mr. John S. Bambini, in order to execute the application, on multiple occasions. To date, Mr. Bambini has failed to execute the above-referenced

application and return it to the party to which the Applicants have agreed to assign. Therefore, the Applicants petition the Commissioner to accept the Declaration and Power of Attorney in this application, in order to preserve their rights.

Enclosed herewith is a sworn affidavit by Ms. Jodi Hemmeke, a 17-year employee of the party to which the Applicants have agreed to assign invention. The affidavit provides a firsthand account of the circumstances surrounding the presentation of the application papers and of the refusal by Mr. Bambini, in compliance with MPEP §409.03.

The fee set forth in 37 C.F.R. §§ 1.17(h) for filing a petition by other than all the inventors is \$200.00. A credit card payment for this amount was submitted with the petition filed on February 23, 2006 and is not required for submission with this renewed petition.

In compliance with the requirements of 37 C.F.R. §§ 1.47(a), the last known address of the non-signing inventor is as follows:

> Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

The Commissioner is hereby authorized to charge any additional fees, including fees for extensions of time, which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

FOLEY & LARDNER LLP

Telephone:

Customer Number: 26371 (414) 297-5845

Facsimile:

(414) 297-4900

Nathaniel St.Clair, II Attorney for Applicant

Registration No. 57,498

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arnold-Huyser et al.

Title:

POINT-OF-INTEREST DISPLAY

SYSTEM

Appl. No.:

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International

4/21/2005

Filing Date: 371(c) Date:

Examiner:

To Be Determined

Art Unit:

To Be Determined

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. EV 828707952 US 10/16/06 (Express Mail Label Number) (Date of Deposit) Carolyn Simpson (Printed Name)

AFFIDAVIT

STATE OF Michigan

COUNTY OF /

I, Jodi A. Hemmeke, being duly sworn, do hereby depose and say as follows:

)SS

- 1) I am an employee of Johnson Controls Interiors LLC ("JCI") and have been employed at JCI for a total of 17 years, previously as an Administrative Assistant in the Intellectual Property Department.
- 2) In my role as an Administrative Assistant, I regularly corresponded with the inventor/patentee(s) and supervised the execution of various patent-related documents, such as declarations of inventorship, powers of attorney, assignments, etc., for certain patent applications filed on behalf of Johnson Controls Technology Company.

- 3) In the above-captioned application, there are five named inventors, including Mr. John S. Bambini. Each of the five named inventors have been contacted to review the aforementioned patent application and to execute declarations of inventorship, powers of attorney, and assignment documents relating to this patent application.
- 4) On or around December 9, 2005, I attempted to contact Mr. Bambini via letter to request his cooperation with the review of the patent application and the execution of a declaration of inventorship, a power of attorney, and an assignment, relating to the aforementioned patent application.
- 5) Upon receiving no response from Mr. Bambini, I contacted Mr. Bambini via e-mail (at John.Bambini@smiths-aerospace.com), in order to verify whether he had reviewed the patent application and executed and returned the declaration, power of attorney, and assignment.
- 6) On December 18, 2005, I received a response from Mr. Bambini via e-mail, indicating that he "decided not to sign" the aforementioned documents relating to this patent application. (See Exhibit A.)
- 7) On December 20, 2005, I responded to Mr. Bambini informing him of his contractual obligations to JCI, regarding execution of certain necessary papers relating to this patent application, as required by his employment agreement. (See Exhibit B.)
- 8) On January 13, 2006, I attempted to contact Mr. Bambini again to inquire whether he had executed the declaration and assignment. I received no response from Mr. Bambini. Attached to the January 13th correspondence was Mr. Bambini's signed employment agreement. (*See* Exhibits C and D.)
- 9) On February 7, 2006, a certified letter, including the aforementioned patent application, a declaration of inventorship, a power of attorney, and an assignment, was sent with a return-receipt request, to Mr. Bambini's last known residence. The

letter and all enclosures were returned to sender as "unclaimed." (See Exhibit E, F, and G, which include a copy of the letter, a copy of the return-receipt, and a copy of the face of the envelope.)

- 10) On June 22, 2006, I resent a declaration of inventorship, a power of attorney, and an assignment to Mr. Bambini via e-mail (at John.Bambini@smiths-aerospace.com). (See Exhibit H.)
- 11) I received no response from Mr. Bambini, and I have had no other communication with Mr. Bambini since my June 22nd correspondence.
- 12) To the full extent of my knowledge, Mr. Bambini has refused to sign the declaration, power of attorney, and assignment for the above captioned application.

Sworn and subscribed to by:

Jodi A. Hemmeke

On this \\" day of Octobar 2006, before me personally appeared JODI A. HEMMEKE, known to me to be the individual described herein and who executed the foregoing instrument for the purposes set forth herein.

Notary Public, State of Notary Public, State of Michigan

County of Allegan

From: Jodi.A.Hemmeke@jci.com

Sent: Thursday, January 26, 2006 12:07 PM

To: St. Clair, Nathaniel

Subject: Point of interest display system applications

---- Forwarded by Jodi A Hemmeke/NA/Johnson_Controls ----

From: John.Bambini@smiths-aerospace.com

To: jodi.a.hemmeke@jci.com

12/18/2005 12:16 PM Subject: GPS patent

Jodi,

Thank you for the opportunity to be included on the GPS patent application. After careful consideration, I have decided not to sign. I will return the money to Todd Witkowski the next time I see him for return to you.

John S. Bambini john.bambini@ieee.org

The information contained in, or attached to, this e-mail, may contain confidential information and is intended solely for the use of the individual or entity to whom they are addressed and may be subject to legal privilege. If you have received this e-mail in error you should notify the sender immediately by reply e-mail, delete the message from your system and notify your system manager. Please do not copy it for any purpose, or disclose its contents to any other person. The views or opinions presented in this e-mail are solely those of the author and do not necessarily represent those of the company. The recipient should check this e-mail and any attachments for the presence of viruses. The company accepts no liability for any damage caused, directly or indirectly, by any virus transmitted in this email.

From:

Jodi.A.Hemmeke@jci.com

Sent:

Thursday, January 26, 2006 12:07 PM

To:

St. Clair, Nathaniel

Subject:

Point of interest display system applications

Follow Up Flag: Flag Status:

Follow up Flagged

---- Forwarded by Jodi A Hemmeke/NA/Johnson Controls on 01/13/2006 09:17 AM -----

Jodi A Hemmeke/NA/Johnson Controls To John.Bambini@smiths-aerospace.com 12/20/2005 08:07 AM

Cc Elisabet A Anderson/NA/Johnson Controls

Subject Re: GPS patent (Document link: Jodi A Hemmeke)

John,

Unfortunately, once you are named as an inventor on an application, it is very difficult to remove you. Your NDA with JCI actually obligates you to support anything you worked on while employeed (even after your employment ends). If you have any questions or concerns about the process, please let me know.

Regards,

Jodi

..... E-mail: jodi.a.hemmeke@jci.com Jodi Hemmeke

Intellectual Property

...... Phone: (616) 394-8062 Cellular: (616) 283-0772

...... Fax: (616) 394-1231

From:

Jodi.A.Hemmeke@jci.com

Sent:

Thursday, January 26, 2006 12:07 PM

To:

St. Clair, Nathaniel

Subject:

Point of interest display system applications

Follow Up Flag:

Follow up

Flag Status:

Flagged

---- Forwarded by Jodi A Hemmeke/NA/Johnson_Controls on 01/26/2006 12:39 PM -----

Jodi A Hemmeke/NA/Johnson_Controls To John Bambini 01/13/2006 09:22 AM Subject Fw: GPS patent

John,

I haven't received a response from you or the signed documents. I'm attaching a copy of your Employee NDA (please review item "b"). Please let me know when I may expect the documents (you may fax or email if you'd like).

Thank you,

Jodi

(See attached file: 1033_001.pdf)

Jodi Hemmeke E-mail: jodi.a.hemmeke@jci.com

Intellectual Property Phone: (616) 394-8062

..... Cellular: (616) 283-0772

..... Fax: (616) 394-1231



EMPLOYEE INNOVATION AND NON-DISCLOSURE AGREEMENT

In consideration of my original and/or further employment with Prince and of the salary or wages paid to me, I agree:

- a to disclose and assign to Prince all inventions and technical or business innovations conceived or developed by me or jointly with others within the period of my employment, that relate to in any way the company's present and known products, processes, or machines, whether they are existing or reasonably anticipated.
- b to execute, upon the company's request and expense, all necessary papers and provide proper assistance during or subsequent to my employment, to enable the company to obtain for itself any patents, copyrights, or other legal protection for such inventions or innovations.
- c to make and maintain for the company adequate and current written records of all such inventions or innovations.
- d not to use, publish, or otherwise disclose (except as required in my normal company duties), either during or subsequent to my employment, any secret or confidential information or data concerning the company, its products and processes, or its customers.
- e to, upon my termination of employment, promptly deliver to the company all drawings, blueprints, manuals, letters, notes, note boards, reports and all materials of a secret or confidential nature, relating to the company's business, and which are in my possession or control.

Witness July Shewood Signature: July 1. Bombis
Date: 1/2-2-96



February 7, 2006

FOLEY & LARDNER LLP ATTORNEYS AT LAW

777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202-5306 414.271.2400 TEL 414.297.4900 FAX www.foley.com

WRITER'S DIRECT LINE 414.297.5845 nstclair@foley.com EMAIL

CLIENT/MATTER NUMBER 26032-4899

Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

Re:

Assignments & Declarations and Power of Attorney for Patent Applications

Applicant: Arnold-Huyser et al.

Title: POINT-OF-INTEREST DISPLAY SYSTEM

Filing Date: April 21, 2005

F&L File Nos.: 026032-4899, 026032-4900, 026032-4901

Dear Mr. Bambini:

I represent Johnson Controls, Inc. ("JCI"), in the above-referenced patent matters. I attempted to contact you on January 27, 2006, via telephone to remind you of your obligations to JCI, regarding the execution of certain necessary papers for the above-referenced patent matters.

Per the enclosed document entitled "Employee Innovation and Non-Disclosure Agreement, you entered into an agreement with Prince Corporation (now owned by JCI) to execute "all necessary papers and provide proper assistance during or subsequent to your employment, to enable the company to obtain for itself patents, copyrights, or other legal protection for such inventions or innovations."

I have also enclosed a postage-paid, self-addressed envelope and the necessary papers for you to execute, including the (i) Assignments and (ii) Declarations and Power of Attorney for each of the above-referenced patent applications, in order for you to comply with your contractual obligations to JCI. Your immediate attention and return of the executed documents prior to Monday, February 20, 2006, would be greatly appreciated. If you have any questions regarding the content of this letter or any other communication(s) regarding this matter, please do not hesitate to contact me.

Best regards,

Nathaniel St. Clair II

Enclosure

Cc:

Jodi A. Hemmeke (w/o enclosures) Steven C. Becker (w/o enclosures)

7160 3901 9849 3592 7803

TO: Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

SENDER:

Nathaniel St. Clair

REFERENCE:

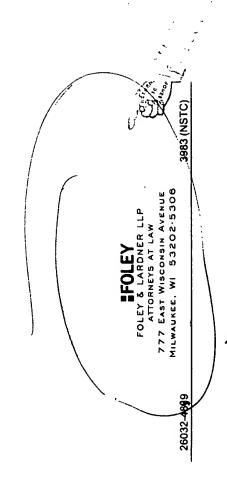
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Thank you for using Return Receipt Service

From:

Jodi.A.Hemmeke@jci.com

Sent:

Thursday, June 22, 2006 3:49 PM John.Bambini@smiths-aerospace.com

To: Cc:

St. Clair, Nathaniel

Subject:

Assignments and Declarations for JCI patent applications

Attachments:

Assignment and Declaration - 4901.pdf; Assignment and Declaration - 4899.pdf; Assignment

and Declaration - 4900.pdf







Assignment and Declaration - 4...

Assignment and Declaration - 4...

Assignment and Declaration - 4...

RE:

Assignments & Declarations and Power of Attorney for Patent

Applications

Applicant:

Arnold-Huyser et al.

Title:

POINT-OF-INTEREST DISPLAY SYSTEM

Filing Date:

April 21, 2005

Appln Nos.:

10/532025, 10/532287, 10/532199

F&L File Nos.:

026032-4899, 026032-4900, 026032-4901

John,

You are listed as a named inventor on at least three patent applications, commonly entitled, "Point of Interest Display System." According to the terms of your employment agreement and as a named inventor, you are required to sign and return the attached assignments and declarations of inventorship. Please confirm via email reply that you have executed the documents and will return the documents in the postage-paid envelope previously provided.

If you have any questions regarding this matter, please contact me or Nathaniel St.Clair, II, of Foley & Lardner at 414-297-5845 or nstclair@foley.com. Thanks, in advance, for your cooperation.

Best regards, Jodi

(See attached file: Assignment and Declaration - 4901.pdf) (See attached file: Assignment and Declaration - 4899.pdf) (See attached file: Assignment and Declaration - 4900.pdf)

Jodi Hemmeke

..... E-mail: jodi.a.hemmeke@jci.com

Electronics

..... Phone: (616) 394-8062

...... Cellular: (616) 283-0772

..... Fax: (616) 394-6100

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Johnson Controls, Inc.

Automotive Group

915 E. 32nd St.

1

Holland, MI	49423		
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